KNOW YOUR CLIENT (KYC) / CENTRAL KYC REGISTRY APPLICATION FORM (For Non-Individuals)

Jyoti Broking Pvt. Ltd.
Corp. Office : B-78, 3rd Floor,
Defence Colony, New Delhi-110024
Tel.: 011-46059400 (30 Lines)
Fax : 011-24337131

Application Number: | | | | | | | | | | | | | | |

Application Type* ☐ New KYC ☐ Modification KYC

Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory
Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

1. Entity Details (please refer guidelines)

PAN* _____ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) _____

Date of Incorporation* __ __ __ __ __ __ __ __ Plate of Incorporation* _____

Date of Commencement* __ __ __ __ __ __ __ __ Registration Number* _____

Entity Type* Please Tick(✓)

☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Partnership
☐ Trust/Charity/NGO ☐ HUF ☐ FPI Category I ☐ FPI Category II
☐ AOP ☐ Bank ☐ Government Body ☐ Defence Establishment
☐ Body of Individuals ☐ ☐ Society ☐ LLP
☐ Non-Government Organization ☐ Others _____

2. Proof of Identity+ (please refer the guidelines)

☐ Officially Valid Document(s) in respect of person authorized to transact
☐ Certificate of Incorporation/Formation _____ ☐ Registration Certificate _____
☐ Memorandum of Articles and Association ☐ Partnership Deed ☐ Trust Deed
☐ Board Resolution ☐ Power of Attorney granted to its manager, office, employees to transact on its behalf
☐ Activity Proof-1+ (For Sole Proprietorship Only) ☐ Activity Proof-2+ (For Sole Proprietorship Only)

3. Address Details+ (please refer the guidelines)

A. Registered Address*

Line 1* .....
Line 2 .....
Line 3 .....
City/Town/Village* ..... District* ..... Pin Code* .....
State* ..... Country* .....

B. Correspondence/Local Address in India (If different from above)*

Line 1* .....
Line 2 .....
Line 3 .....
City/Town/Village* ..... District* ..... Pin Code* .....
State* ..... Country* .....

Applicant Digital Signature (DSC)

Proof of Address* (attested copy of any one POA to be submitted -#Not more than 3 months old)

☐ Certificate of Incorporation/Formation ☐ Registration Certificate ☐ Other Document _____

☐ Latest Telephone Bill# (Landline only) ☐ Latest Electricity Bill# ☐ Latest Bank Account Statement#

☐ Registered Lease/Sale Agreement of Office Premises **Validity/Expiry Date of POA** (Expiry Date) _ _ _ _ _ _ _ _ _ _

☐ Any Other Proof of Address document (as listed overleaf) _____

4. Contact Details (in CAPITAL)

Email ID* .....

E-MAIL ID given by me belong to ☐ Me ☐ Spouse ☐ Dependent Children ☐ Dependent Parent

E-MAIL ID is registered in name of ..... Who's PAN No. is .....

Mobile No.* ....

MOBILE (Primary) given by me belong to ☐ Me ☐ Spouse ☐ Dependent Children ☐ Dependent Parent

MOBILE is registered in name of ..... Who's PAN No. is .....

Tel (OFF) .... Tel (Resi) ....

5. Annexures Submitted

Number of Related Persons - ☐

6. Remarks / Additional Information

_____

_____

7. Applicant Declaration

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from KRA through SMS/Email on the above registered number/Email address.

DATE: ..... (DD-MM-YYYY)

PLACE: .....

Applicant Digital Sign. (DSC)	Applicant Wet Signature

8. For Office Use Only

KYC Carried Out by*	Intermediary Details*
KYC Date	☐ Self certified document copies received (Originals Verified)
Emp. Name	☐ True Copies of documents received (Attested)
Emp. Code	AMC / Intermediary Name OR Code:
Emp. Designation	**Jyoti Broking Pvt. Ltd.**
Employee Signature and Stamp	Institution Name & Stamp

KNOW YOUR CLIENT (KYC) — Annexure (For Non-Individuals Only) / Related Person

Jyoti Broking Pvt. Ltd.
Corp. Office : B-78, 3rd Floor,
Defence Colony, New Delhi-110024
Tel.: 011-46059400 (30 Lines)
Fax : 011-24337131

Application Number: | | | | | | | | | | | | | |

Application Type* ☐ New KYC ☐ Modification KYC

Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory
Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

1. Identity Details of Related Person (please refer guidelines overleaf)

PAN* _____ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) ....

Maiden Name+ (if any) ....

Fathers/Spouse's Name* ....

Mother Name* ....

Date of Birth* .....

PHOTOGRAPH

Please affix your recent passport size photograph

Signature Across Photograph

Gender* ☐ Male ☐ Female ☐ Transgender

Nationality* Residential ☐ Indian ☐ Other .....

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

☐ Director ☐ Promoter ☐ Karta ☐ Karta ☐ Trustee ☐ Partner

☐ Court Appointed Official Proprietor ☐ Beneficiary ☐ Authorized Signatory

☐ Beneficial Owner ☐ Power of Attorney Proprietor

☐ Others _____ (please specify) DIN: _____ (Mandatory if the related person is Director)

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

☐ A — Aadhaar Card XXXX XXXX _ _ _ _

☐ B — Passport Number ..... (Expiry Date) .....

☐ C — Voter ID Card .....

☐ D —Driving License ..... (Expiry Date) .....

☐ E —NREGA Job Card .....

☐ F — NPR Letter .....

☐ Z —Others ..... (Any document notified by Central Government)

Identification Number .....

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address*

Line 1* .....

Line 2 .....

Line 3 .....

City/Town/Village* ..... District* ..... Pin Code* .....

State* ..... Country* .....

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Applicant E-Sign

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* .....

Line 2 .....

Line 3 .....

City/Town/Village* ..... District* ..... Pin Code* .....

State* ..... Country* .....

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

☐ A — Aadhaar Card XXXX XXXX _ _ _ _

☐ B — Passport Number ..... (Expiry Date) .....

☐ C — Voter ID Card .....

☐ D —Driving License ..... (Expiry Date) .....

☐ E —NREGA Job Card .....

☐ F — NPR Letter .....

☐ Z —Others ..... (Any document notified by Central Government)

Identification Number .....

3. Contact Details (in CAPITAL)

Email ID* .....

E-MAIL ID given by me belong to ☐ Me ☐ Spouse ☐ Dependent Children ☐ Dependent Parent

E-MAIL ID is registered in name of ..... Who's PAN No. is .....

Mobile No.* ....

MOBILE (Primary) given by me belong to ☐ Me ☐ Spouse ☐ Dependent Children ☐ Dependent Parent

MOBILE is registered in name of ..... Who's PAN No. is .....

Tel (OFF) .... Tel (Resi) ....

4. Applicant Declaration

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from KRA through SMS/Email on the above registered number/Email address.

DATE: ..... (DD-MM-YYYY)

PLACE: .....

Applicant e-Sign	Applicant Wet Signature

5. For Office Use Only

KYC carried out by*	Intermediary Details*
KYC Date	☐ Self certified document copies received (OVD)
Emp. Name	☐ True Copies of documents received (Attested)
Emp. Code	
Emp. Designation	**Jyoti Broking Pvt. Ltd.**
Employee Signature and Stamp	Institution Name & Stamp

DETAILS OF AUTHORISED SIGNATORY(IES) / PROMOTERS / PARTNERS / KARTA / TRUSTEES AND WHOLE TIME DIRECTORS FORMING A PART OF KNOW YOUR CLIENT (KYC) APPLICATION FORM FOR NON-INDIVIDUALS

Name of Applicant	
PAN of the Applicant	

1.

Name				**PHOTOGRAPH**
Relationship with Applicant (i.e. promoters, whole time directors etc.)				Please affix your recent passport size photograph and sign across it
PAN		DIN / Aadhaar No.*		
Residential/ Registered Address				
City / Town / Village		PIN		
State		Country		
Contact Details — Phone No.		Mobile No.		
Email ID				SIGNATURE
Whether Politically Exposed	☐ RPEP: Related to Politically Exposed Person ☐ PEP: Politically Exposed Person ☐ NO			

2.

Name				**PHOTOGRAPH**
Relationship with Applicant (i.e. promoters, whole time directors etc.)				Please affix your recent passport size photograph and sign across it
PAN		DIN / Aadhaar No.*		
Residential/ Registered Address				
City / Town / Village		PIN		
State		Country		
Contact Details — Phone No.		Mobile No.		
Email ID				SIGNATURE
Whether Politically Exposed	☐ RPEP: Related to Politically Exposed Person ☐ PEP: Politically Exposed Person ☐ NO			

3.

Name				**PHOTOGRAPH**
Relationship with Applicant (i.e. promoters, whole time directors etc.)				Please affix your recent passport size photograph and sign across it
PAN		DIN / Aadhaar No.*		
Residential/ Registered Address				
City / Town / Village		PIN		
State		Country		
Contact Details — Phone No.		Mobile No.		
Email ID				SIGNATURE
Whether Politically Exposed	☐ RPEP: Related to Politically Exposed Person ☐ PEP: Politically Exposed Person ☐ NO			

***DIN for Directors / Aadhaar No. for others**

Name & Signature of the Authorsied Signatory(ies)

Date D D M M Y Y Y Y

In person Verification (IPV) Details:

Name of the person who has done the IPV: _____

Designation: _____ Employee ID: _____

Name of Authorised Person _____

Name of the Organization: **Jyoti Broking Pvt. Ltd.**

Date of IPV: D D / M M / Y Y Y Y

Signature of the person who has done the IPV