

## Annexure C

**Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites**

**Data for every month ending**

| SN | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|    |                         |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1  | 2                       | 3                                   | 4                         | 5             | 6         | 7                                 |                                | 8                                  |
| 1  | Directly from Investors | 0                                   | 0                         | 0             | 0         | 0                                 |                                | 0                                  |
| 2  | SEBI (SCORES)           | 0                                   | 0                         | 0             | 0         | 0                                 |                                | 30 Days                            |
| 3  | Depositories            | 0                                   | 0                         | 0             | 0         | 0                                 |                                | 0                                  |
| 4  | Other Sources (if any)  | 0                                   | 0                         | 0             | 0         | 0                                 |                                | 0                                  |
| 5  | <b>Grand Total</b>      | 0                                   | 0                         | 0             | 0         | 0                                 |                                | 30 Days                            |

### Trend of monthly disposal of complaints

| SN | Month       | Carried forward from previous month | Received | Resolved* | Pending** |
|----|-------------|-------------------------------------|----------|-----------|-----------|
| 1  | 2           | 3                                   | 4        | 5         | 6         |
| 1  | August-2023 | 0                                   | 0        | 0         | 0         |

### Trend of annual disposal of complaints

| SN | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2021-22            | 0                                  | 2                        | 2                        | 0                              |
| 2  | 2022-23            | 0                                  | 1                        | 1                        | 0                              |
| 3  | 2023-24            | 0                                  | 0                        | 0                        | 0                              |
|    | <b>Grand Total</b> | 0                                  | 3                        | 3                        | 0                              |