

**Annexure – B**

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending**

S N	Receive d from	Carried forwar d from previou s month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g for less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI (SCORE S)	0	0	0	0	0		NA
3	Stock Exchang es	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	<b>Grand Total</b>	0	0	0	0	0		0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	DEC -2021	0	0	0	0
2	JAN-2022	0	0	0	0
3	FEB-2022	0	0	0	0
4	MAR-2022	0	0	0	0
5	APR-2022	0	0	0	0
6	May-2022	0	2	2	0
7	June-2022	0	1	1	0
8	July-2022	0	0	0	0
9	August 2022	0	0	0	0
10	September 2022	0	0	0	0
11	October 2022	0	0	0	0
12	November 2022	0	0	0	0
13	December 2022	0	0	0	0
14	JAN-2023	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	<b>2022-23</b>	0	3	3	0
	<b>Grand Total</b>	0	3	3	0