

**Annexure – B**

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending**

S N	Receive d from	Carried forwar d from previou s month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g for less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI (SCORE S)	0	0	0	0	0		NA
3	Stock Exchang es	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	<b>Grand Total</b>	0	0	0	0	0		0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	DEC -2021	0	0	0	0
2	JAN-2022	0	0	0	0
3	FEB-2022	0	0	0	0
4	MAR-2022	0	0	0	0
5	APR-2022	0	0	0	0
6	May-2022	0	2	2	0
7	June-2022	0	1	1	0
8	July-2022	0	0	0	0
9	August 2022	0	0	0	0
10	September 2022	0	0	0	0
11	October 2022	0	0	0	0
12	November 2022	0	0	0	0
13	December 2022	0	0	0	0
14	January-2023	0	0	0	0
15	February 2023	0	0	0	0
16	March 2023	0	0	0	0
17	April 2023	0	0	0	0
18	May 2023	0	1	1	0
19	June2023	0	0	0	0
20	July 2023	0	0	0	0
21	August 2023	0	0	0	0
22	September 2023	0	0	0	0
23	October 2023	0	0	0	0
24	November 2023	0	0	0	0
25	December 2023	0	0	0	0
26	January-2024	0	0	0	0
27	February-2024	0	0	0	0
27	March-2024	0	0	0	0
27	April-2024	0	0	0	0
28	May 2024	0	0	0	0
28	June 2024	0	0	0	0
29	July 2024	0	0	0	0
30	August 2024	0	0	0	0
31	October 2024	0	0	0	0
32	November 2024	0	0	0	0
33	December 2024	0	0	0	0
34	January 2025	0	0	0	0
35	February 2025	0	0	0	0
36	March 2025	0	0	0	0
37	April 2025	0	0	0	0
38	May 2025	0	0	0	0
39	June 2025	0	0	0	0

40	July 2025	0	0	0	0
41	August 2025	0	0	0	0
42	September 2025	0	0	0	0
43	October 2025	0	0	0	0
44	November 2025	0	0	0	0
45	December 2025	0	0	0	0
46	January 2026	0	0	0	0
	<b>Grand Total</b>	0	4	4	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of</b>
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	3	3	0
4	2023-24	0	1	1	0
5	2024-25	0	0	0	0
6	2025-26	0	0	0	0
	<b>Grand Total</b>	0	4	4	0