

Annexure C

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites

Data for every month ending October 2023

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | | 30 Days |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | | 30 Days |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from month | Received previous | Resolved* | Pending** |
|----|--------------|----------------------------|-------------------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | October-2023 | 0 | | 0 | 0 |

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021-22 | 0 | 2 | 2 | 0 |
| 2 | 2022-23 | 0 | 1 | 1 | 0 |
| 3 | 2023-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 3 | 3 | 0 |