Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI (SCORES)	0	0	0	0	0		NA
3	Stock Exchanges	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	**Grand Total**	0	0	0	0	0		0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	DEC -2021	0	0	0	0
2	JAN-2022	0	0	0	0
3	FEB-2022	0	0	0	0
4	MAR-2022	0	0	0	0
5	APR-2022	0	0	0	0
6	May-2022	0	2	2	0
7	June-2022	0	1	1	0
8	July-2022	0	0	0	0
9	August 2022	0	0	0	0
10	September 2022	0	0	0	0
11	October 2022	0	0	0	0
12	November 2022	0	0	0	0
13	December 2022	0	0	0	0
14	January-2023	0	0	0	0
15	February 2023	0	0	0	0
16	March 2023	0	0	0	0
17	April 2023	0	0	0	0
18	May 2023	0	1	1	0
19	June2023	0	0	0	0
20	July 2023	0	0	0	0
21	August 2023	0	0	0	0
22	September 2023	0	0	0	0
23	October 2023	0	0	0	0
24	November 2023	0	0	0	0
25	December 2023	0	0	0	0
26	January-2024	0	0	0	0
27	February-2024	0	0	0	0
27	March-2024	0	0	0	0
27	April-2024	0	0	0	0
28	May 2024	0	0	0	0
28	June 2024	0	0	0	0
29	July 2024	0	0	0	0
30	August 2024	0	0	0	0
31	October 2024	0	0	0	0
32	November 2024	0	0	0	0
33	December 2024	0	0	0	0
34	January 2025	0	0	0	0
35	February 2025	0	0	0	0
36	March 2025	0	0	0	0
37	April 2025	0	0	0	0
38	May 2025	0	0	0	0
39	June 2025	0	0	0	0

40	July 2025	0	0	0	0
41	August 2025	0	0	0	0
42	September 2025	0	0	0	0
43	October 2025	0	0	0	0
44	November 2025	0	0	0	0
45	December 2025	0	0	0	0
46	January 2026	0	0	0	0
47	February 2026	0	0	0	0
48	March 2026	0	0	0	0
49	April 2026	0	0	0	0
50	May 2026	0	0	0	0
51	June 2026	0	0	0	0
52	July 2026	0	0	0	0
53	August 2026	0	0	0	0
54	September 2026	0	0	0	0
55	October 2026	0	0	0	0
	Grand Total	0	4	4	0

*Should include complaints of previous months resolved in the current month, if any.
**Should include total complaints pending as on the last day of the month, if any.
^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	3	3	0
4	2023-24	0	1	1	0
5	2024-25	0	0	0	0
6	2025-26	0	0	0	0
7	2026-27	0	0	0	0
	Grand Total	0	4	4	0